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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	E0295/7130 (RFG/JT)
	First Named Inventor or Application Identifier	
	PHILLIPS, Jeffrey et al.	
	Express Mail Label No.	EL056833345US
Date of Deposit		December 23, 1999

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents</i>	ADDRESS TO:
1. Fee Transmittal Form (Submit an original, and a duplicate for fee processing)	Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
2. Specification [Total pages 42] 29 pages specification 1 pages abstract 12 pages claims 56 claims	6. Microfiche Computer Program (Appendix) 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies
3. Drawing(s) (35 USC 113) [Total sheets 14] <input type="checkbox"/> Informal <input type="checkbox"/> Formal [Total drawings Figs. 1-14]	
4. Oath or Declaration [Total pages 3] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. Incorporation by Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	ACCOMPANYING APPLICATION PARTS 8. Assignment Papers (cover sheet & documents(s)) 9. 37 CFR 3.73(b) Statement <input type="checkbox"/> Power of Attorney (when there is an assignee) 10. English Translation of Document (if applicable) 11. Information Disclosure <input type="checkbox"/> Copies of IDS Statement (IDS)/PTO-1449 Citations 12. Preliminary Amendment 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. Small Entity <input type="checkbox"/> Statement filed in prior Statement(s) application, Status still proper and desired 15. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. Other:	

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:

☐ Cancel in this application original claims of the prior application before calculating the filing fee.

☐ Amend the specification by inserting before the first line the sentence:

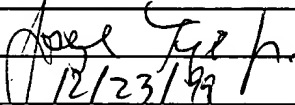
This application is a ☐ continuation ☐ divisional of application serial no. , filed , entitled , and now .

18. CORRESPONDENCE ADDRESS

Correspondence address below

ATTORNEY'S NAME	Richard F. Giunta, Reg. No. 36,149				
NAME	Wolf, Greenfield & Sacks, P.C.				
ADDRESS	600 Atlantic Avenue				
CITY	Boston	STATE	MA	ZIP	02210
COUNTRY	USA	TELEPHONE	(617) 720-3500	FAX	(617) 720-2441

19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME	Joseph Teja, Jr., Reg. No. 45,157
SIGNATURE	
DATE	12/23/99

Inventor or Identifier: PHILLIPS, Jeffrey et al.

Serial No: Not yet assigned

Filed: Herewith

CHECK BOX, if applicable:

For: METHOD AND APPARATUS FOR MANAGING
INFORMATION RELATING TO STORAGE ACTIVITIES OF
DATA STORAGE SYSTEMS

☐ **DUPLICATE**

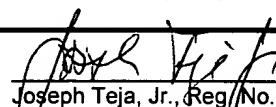
Fee Calculation Sheet

CLAIMS	FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
	TOTAL CLAIMS (37 CFR 1.16(c))	56-20=	36 x	\$18	= \$648.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b))	13-3=	10 x	\$78	= \$780.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d)) +			\$	= \$0.00
				BASIC FEE (37 CFR 1.18(a))	\$ 760.00
			Total of above Calculations =		\$2188.00
			Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).		\$2188.00
			Assignment Recordation Fee (if any)		\$ 40.00
			Other Fees (e.g., Petition for Extension of Time), if any NOTE: Enter small-entity amount if applicable.		\$ 0.00
			TOTAL =		\$2228.00

1. A check in the amount of \$2228.00 is enclosed.

General Authorization to Charge Deposit Account and General Request for Extension of Time

2. a. ☒ If the filing of any paper in this application necessitates the payment of a fee under 37 CFR ☒ 1.16 ☒ 1.17 or ☐ 1.18, and the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.
- b. ☐ The applicant hereby revokes any prior authorization to charge a fee due under 37 CFR ☐ 1.16 ☐ 1.17 or ☐ 1.18.
3. If the filing of any paper in this application necessitates an extension of time under 37 CFR ☒ 1.136(a), the applicant hereby requests such extension of time. If the fee due is in an amount different from any enclosed check or if no check is enclosed, the Commissioner is hereby authorized to charge any deficiency or credit any overpayment to Deposit Account No. 23/2825.


Joseph Teja, Jr., Reg. No. 2-45,157
Wolf, Greenfield & Sacks, P.C.
600 Atlantic Avenue
Boston, MA 02210-2211
(617) 720-3500
Attorneys of Record

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